

# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 36 U.S.C. 371

INTERNATIONAL APPLICATION NO.  
PCT/FR00/00644

INTERNATIONAL FILING DATE  
March 16, 2000

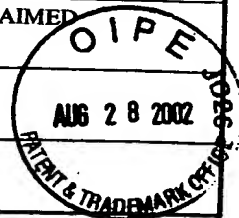
PRIORITY DATE CLAIMED  
March 16, 1999

TITLE OF INVENTION

METHOD AND DEVICES FOR STERILIZATION BY PLASMA

APPLICANT(S) FOR DO/EO/US

Séverine Bousquet, Philippe Destrez, Marie-Pierre Jaffrezic, François Perruchot



Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
 ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

8 sheets of formal drawings

Verification of Translation of PCT/FR00/00644

COPY OF PAPERS  
ORIGINALLY FILED

RECEIVED  
SEP 09 2002  
TC 1700

Express Mail Number

EL 751779258 US

U.S. APPLICATION NO. (If known, see 37 CFR 1.401)

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

PCT/FR00/00644

BDL-360XX

17. ☒ The following fees are submitted:**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):**

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO **\$1,000.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO **\$860.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO **\$710.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$690.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

**ENTER APPROPRIATE BASIC FEE AMOUNT =****\$860.00**

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

**\$ -**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	57 - 20 =	37	X <b>\$18.00</b>	<b>\$666.00</b>
Independent claims	2 - 3 =	0	X <b>\$80.00</b>	<b>\$ -</b>
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			<b>+\$270.00</b>	<b>\$ -</b>

**TOTAL OF ABOVE CALCULATIONS =****\$1,526.00**

☒ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

**\$763.00****SUBTOTAL =****\$763.00**

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

**\$ -****TOTAL NATIONAL FEE =****\$763.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property

**\$40.00****TOTAL FEES ENCLOSED =****\$803.00**

**Amount to be  
refunded: \$  
charged: \$**

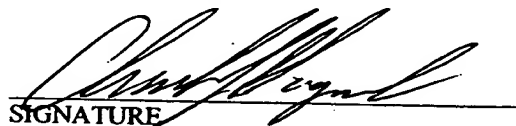
- a. ☒ A check in the amount of **\$763.00** to cover the above fees is enclosed. A check in the amount of **\$40.00** is enclosed for the assignment recordation fee.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 23-0804. A duplicate copy of this sheet is enclosed.

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

Customer Number 207

SEND ALL CORRESPONDENCE TO:

Weingarten, Schurgin, Gagnebin & Hayes LLP  
Ten Post Office Square  
Boston, Massachusetts 02109

  
SIGNATURE

NAME: Charles L. Gagnebin III  
REGISTRATION NUMBER: 25,467

Date: \_\_\_\_\_

The PTO did not receive the following  
listed item(s)

1/0 Check Received v.



DT05 Re PCT/PTO 28 AUG 2002


PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application : SÉVERINE BOUSQUET, ET AL.  
Application No. : 09/936,596  
Filed : September 14, 2001  
For : METHOD AND DEVICES FOR STERILIZATION BY  
PLASMA  
Examiner :  
Attorney's Docket : BDL-360XX

Group Art Unit: 1744

\*\*\*\*\*  
I hereby certify that this correspondence is being deposited with  
the United States Postal Service as first class mail in an  
envelope addressed to: Commissioner for Patents, Office of Initial  
Patent Examination, Customer Service Center, Washington,  
D.C. 20231 on 8-22-2.

By:   
Charles L. Gagnebin III  
Registration No. 25,467  
Attorney for Applicant(s)

\*\*\*\*\*

LETTER

Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, D.C. 20231

RECEIVED  
SEP 9 - 2002  
TC 1700

Sir:

In reviewing the Corrected Filing Receipt (copy enclosed) for  
the above-identified patent application, the following error was  
noted:

Title

Method and devices for sterilisation by plasma

should read:

COPY OF PAPERS  
ORIGINALLY FILED

Application No.: 09/936,596  
Filed: September 14, 2001  
Group Art Unit: 1744

Title

Method and devices for **sterilization** by plasma

Attached is a partial copy of the preliminary amendment as filed with the application to correct the title.

Additionally, please correct the filing receipt to indicate that the above-identified application is entitled to **small entity status**. A copy of our transmittal letter as filed with the above-identified application, indicating that the applicant claims small entity status, is attached.

Kindly correct the errors of the Patent and Trademark Office and issue a corrected Filing Receipt.

Respectfully submitted,

SÉVERINE BOUSQUET, ET AL.

By: 

Charles E. Gagnebin III  
Registration No. 25,467  
Attorney for Applicant(s)

WEINGARTEN, SCHURGIN,  
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Boston, Massachusetts 02109

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CLG:kmw/271470-1  
Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/936,596	09/14/2001	1744	763	BDL-360XX	8	57	2

CONFIRMATION NO. 8717

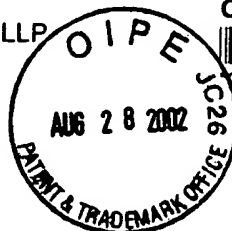
207

WEINGARTEN, SCHURGIN, GAGNEBIN & LEOVICI LLP  
TEN POST OFFICE SQUARE  
BOSTON, MA 02109

CORRECTED FILING RECEIPT



PC000000008382045\*



Date Mailed: 07/01/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Severine Bousquet, Gif sur Yvette, FRANCE;  
Philippe Destrez, Meudon, FRANCE;  
Marie-Pierre Jaffrezic, Velizy, FRANCE;  
Francois Perruchot, Issy Les Moulineaux, FRANCE;

BY: RECEIVED  
JUL 08 2002  
WEINGARTEN, SCHURGIN,  
GAGNEBIN & LEOVICI LLP

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/FR00/00644 03/16/2000

## Foreign Applications

FRANCE 99 03200 03/16/1999

If Required, Foreign Filing License Granted 03/18/2002

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

\* Small Entity

Title

Method and devices for ~~sterilization~~ by plasma  
sterilization

COPY OF PAPERS  
ORIGINALLY FILED

51  
3/14/03  
J.

Preliminary Class

422

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**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

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**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).